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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/686,347	10/14/2003	Vincent K. Gustafson	129-US	7189

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NANOSTREAM, INC.  
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EXAMINER
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JOYNER, KEVIN

ART UNIT	PAPER NUMBER
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1744

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	03/08/2007	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

# Office Action Summary

Application No.

10/686,347

Applicant(s)

GUSTAFSON ET AL.

Examiner

Kevin C. Joyner

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 14 October 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-22 is/are pending in the application.
- 4a) Of the above claim(s) 13-22 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-12 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date 10/14/03, 3/18/04.

- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_.

## DETAILED ACTION

### ***Claim Rejections - 35 USC § 112***

1. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

2. Claim 8 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
3. Claim 8 recites the limitation "the temporarily sealing step" in line 1. There is insufficient antecedent basis for this limitation in the claim. It appears as though the applicant would like to depend this claim from claim 2. Therefore it will be examined as though it depends from claim 2, however corrective action is required.

### ***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

1. Claims 1, 2, 5, 9, and 10 are rejected under 35 U.S.C. 102(e) as being anticipated by Blackburn (U.S. Patent No. 6,875,619).

Blackburn discloses a method for preparing a microfluidic device for operation, the method comprising the steps of:

providing a microfluidic device having a fluidic inlet, at least one fluidic outlet, a plurality of microfluidic channels disposed between the fluidic inlet and the fluidic outlet as disclosed in column 12 lines 1-15, and separation media disposed within at least one microfluidic channel of the plurality of microfluidic channels as disclosed in column 28 lines 37-38, between the fluidic inlet and the fluidic outlet, with at least one microfluidic channel of the plurality of microfluidic channels containing a gas as disclosed in column 72 lines 42-48;

providing a vacuum pump (concerning claim 9) in at least periodic fluid communication with at least one of the fluidic inlet and the at least one fluidic outlet as disclosed in column 72 lines 52-64;

providing a liquid pump (concerning claim 10) in at least periodic fluid communication with the fluid inlet as disclosed in column 23 lines 59-66;

evacuating the gas from the microfluidic device using the vacuum source as disclosed in column 72 lines 42-64; and

introducing a liquid into the microfluidic device through the inlet using the liquid pump as disclosed in column 47 lines 34-45. More specifically, the reference states that the pump is used to transfer both charged particles and bulk solvents to provide fluid flow in the channels of the device in column 47 lines 13-23, and that in the preferred embodiment, a electroosmotic pump is used because it is particularly useful for liquids in column 47 lines 34-46.

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Concerning claim 2, Blackburn continues to disclose that the method further includes the step of temporarily sealing the fluidic inlet prior to the evacuation step in column 72 lines 58-61.

Concerning claim 5, the reference continues to disclose that the device in the method further comprises a hydrophobic frit material in column 62 lines 10-16. More specifically, particles of the glass-ceramic materials are frit materials.

### ***Claim Rejections - 35 USC § 103***

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claim 3 is rejected under 35 U.S.C. 103(a) as being unpatentable over Blackburn (U.S. Patent No. 6,875,619) in view of Harrison et. al (U.S. Patent No. 6,632,619).

Blackburn is relied upon as set forth above. Blackburn does not specifically disclose the type of gas that is evacuated from the channels. Harrison discloses a method of using a microfluidic system. The method includes providing a microfluidic device having a fluidic inlet, at least one fluidic outlet, a plurality of microfluidic channels disposed between the fluidic inlet and the fluidic outlet as shown in Figures 1-4, with at least one of the fluidic channels containing a gas;

providing a vacuum source in periodic fluid communication with at least one of the fluidic inlet and the at least one fluidic outlet;

evacuating the gas from the microfluidic device using the vacuum source as disclosed in column 19 lines 46-51;

providing a positive pressure source in at least periodic fluid communication with the fluidic inlet; and

introducing a liquid into the microfluidic device through the inlet using the positive pressure source as disclosed in column 10 lines 48-53. Harrison further discloses that the gas is air in column 19 lines 46-51. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention that the step of providing a vacuum source to evacuate a gas is used to evacuate air in the method of Blackburn, as is a commonly evacuated gas from a microfluidic device as exemplified by Harrison.

4. Claims 4 and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Blackburn (U.S. Patent No. 6,875,619) in view of Strand et al. (U.S. Application No. 2002/0199094).

Blackburn is relied upon as set forth above. Blackburn does not specifically disclose that the separation media is of the stationary phase type. Strand discloses a method of separating fluids in a cartridge using separation media. The method further discloses that the separation media comprises stationary phase material that allows for reversible adsorption of species in the fluid in paragraph 10. Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the method of Blackburn to comprise the separation media of stationary phase material in order to allow for the reversible adsorption of the species in the fluid as exemplified by Strand.

Concerning claim 11, Blackburn does not disclose that the liquid introduction step includes supplying liquid pressurized to at least about 100 psi to the microfluidic device. Strand however discloses that the liquid introduction step includes supplying liquid pressurized to at least about 100 psi to the microfluidic device in paragraph 13 of the reference. Thus it would have been obvious to one of ordinary skill in the art at the time of the invention to include the step of supplying the liquid to the microfluidic device at a pressure of at least 100 psi, as such is a commonly known operating pressure as exemplified by Strand.

5. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over Blackburn (U.S. Patent No. 6,875,619) in view of Dantsker et al. (U.S. Patent No. 6,499,499)

Blackburn is relied upon as set forth above. Blackburn does not appear to specifically disclose that the liquid is an organic solvent of ethanol. However, solvents comprising ethanol is a commonly known and commercially available liquid used in microfluidic devices. Dantsker discloses this in a method for the flow control in multi-stream microfluidic devices. The microfluidic device comprises a plurality of inlets and outlets along with a plurality of microfluidic channels as shown in Figures 1-3. Dantsker continues to disclose that the liquid introduced into the microfluidic device is a solvent comprising ethanol. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to utilize a solvent comprising ethanol in the method of Blackburn, as is a known commercially available and widely used liquid in microfluidic devices as shown by Dantsker.

6. Claims 7, 8, and 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Blackburn (U.S. Patent No. 6,875,619) in view of McNeely et al. (U.S. Patent No. 6,607,907).

Blackburn is relied upon as set forth above. Blackburn does not appear to disclose that the method further comprises the step of disallowing fluid communication between the vacuum source and at least one of the fluidic inlet and the at least one fluidic outlet prior to the liquid introduction step. McNeely describes a method for controlling the airflow in microfluidic circuits. The method includes a microfluidic device with inlets, outlets and microfluidic channels as shown in Figures 4-6, including a positive pressure source (2), a vacuum source (56 and 57), and an operating valve (36). The method further describes that the positive pressure source introduces a liquid to the microfluidic channels (column 65 lines 66-67), and the vacuum source evacuates gas from the channels (column 6 lines 6-25). The method further discloses the step of sealing the outlet by operating a valve by disallowing fluid communication between the vacuum source and at least one of the fluidic inlet and the at least one fluidic outlet prior to the liquid introduction step as disclosed in column 5 lines 60-67. More specifically, the valve is closed once the pressure is reached in the device, which disallows fluid communication between the vacuum source and the inlets and outlets. The pump is then turned on to return any liquid that may have been displaced, thus introducing it to new parts of the channels in the device. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the method of Blackburn to include the step of disallowing fluid communication between the vacuum source and



at least one of the fluidic inlet and the at least one fluidic outlet prior to the liquid introduction step by sealing it with a valve in order to maintain the optimal pressure in the device as exemplified by McNeely.

Concerning claim 8, Blackburn discloses a method that includes temporarily sealing the fluidic inlet prior to the evacuation step. Blackburn does not appear to disclose operating a valve during the temporary sealing step. It is known in the art to operate a valve during the step of sealing in a method however. McNeely discloses this, wherein a valve is operated during the temporary sealing step in order to seal an area in the microfluidic device. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the method of Blackburn to include operating a valve during the sealing step, as such is a commonly method to seal areas in the art as exemplified by McNeely.

Concerning claim 12, Blackburn continues to disclose that the microfluidic device has a plurality of fluidic outlets as shown in Figure 33D. Blackburn does not specifically disclose that the vacuum source is in fluid communication with a certain number of the fluidic outlets, or that the gas is evacuated from the device through more than one outlet. However, McNeely discloses that the method including the microfluidic device has a plurality of fluidic outlets and that the vacuum source is in fluid communication with the outlets, wherein gas is evacuated from the device through the outlets in Figure 6 and column 6 lines 19-25. More specifically, the vacuum source is the pressure regulators (56 and 57) where air is passed from the channel (referenced as an air duct (49)) to channel (referenced as an air duct (50)) and out of the device by the pressure

regulator (56). As the air passes across the channel (51), it will proceed through the channel and out of the outlet (53), thus providing a plurality of outlets where gas is evacuated from by the vacuum source (56 and 57). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention that the method of Blackburn would evacuate gas from a plurality of outlets by using the vacuum source in order to expedite the process of evacuating the gas from the channels as exemplified by McNeely.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kevin C. Joyner whose telephone number is (571) 272-2709. The examiner can normally be reached on M-F 8:00-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gladys Corcoran can be reached on (571) 272-1214. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic

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Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

KCJ



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